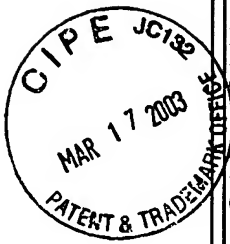


- GP 2876

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

Applicants : Constantine Tsikos et al.
Application Serial No.: 10/067,140
Filing Date : February 4, 2002
Title: : PLANAR LED-BASED ILLUMINATION ARRAY
(PLIA) CHIPS
Examiner : Thien Le
Group Art Unit : 2876
Attorney Docket No. : 108-127USANB0

Honorable Commissioner of Patents and Trademarks
Washington, DC 20231

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

Sir:

This is in response to the Notice of Non-Compliant Amendment dated February 11, 2003, which states that the Amendment filed August 12, 2002 is considered non-compliant because it fails to meet the requirements of 37 C.F.R. 1.121, i.e. does not include a clean version of the amended paragraphs and that a marked copy of the Substitute Specification is needed.

Attorney for Applicants respectfully notes that a marked up version of the amended paragraphs was included in the Amendment, and that the clean version of the amended paragraphs was also included therein. Attorney for Applications submits herewith a copy of the Preliminary Amendment originally filed on August 12, 2002.

Therefore, in view of the above, Attorney for Applications concludes that the Notice mailed February 11, 2003 was mailed in error and that no other response is required. If there are any questions or concerns regarding this matter, please contact the undersigned attorney

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Thomas J. Perkowski".

Dated: March 11, 2003

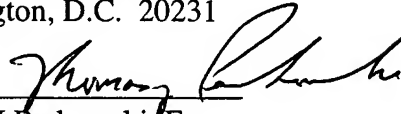
Thomas J Perkowski, Esq.
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37 C.F.R. 1.8

I hereby certify that this correspondence
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A handwritten signature in dark ink, appearing to read 'Thomas J. Perkowski', written over a horizontal line.

Thomas J Perkowski, Esq.

Date: March 11, 2003



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/067,140	02/04/2002	Constantine J. Tsikos	108-127USANB0	5262

7590 02/11/2003

Thomas J. Perkowski, Esq., P.C.
Soundview Plaza
266 East Main Street
Stamford, CT 06902

EXAMINER

LE, THIEN MINH

ART UNIT

PAPER NUMBER

2876

DATE MAILED: 02/11/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

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THOMAS J. PERKOWSKI ESQ., P.C.
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FEB 18 2003

ATTORNEY CASE NO.



Paper No.

Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment filed on Aug 12, 2002 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121, as amended on September 8, 2000 (see 65 Fed. Reg. 54603, Sept. 8, 2000, and 1238 O.G. 77, Sept. 19, 2000). In order for the amendment to be compliant, applicant must supply the following omissions or corrections in response to this notice.

THE FOLLOWING ITEMS ARE REQUIRED FOR COMPLIANCE WITH RULE 1.121 (APPLICANT NEED NOT RE-SUBMIT THE ENTIRE AMENDMENT):

- ☒ 1. A clean version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(ii).
- ☐ 2. A marked-up version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(iii).
- ☐ 3. A clean version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(i).
- ☐ 4. A marked-up version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(ii).

Explanation: A marked-up copy of Sub Spec is also needed

(LIE: Please provide specific details for correction to assist the applicant. For example, "the clean version of claim 6 is missing.")

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <http://www.uspto.gov/web/offices/dcom/olia/pbg/sampleaf.pdf>. A condensed version of a sample amendment format is attached.

- ☒ **PRELIMINARY AMENDMENT:** Unless applicant supplies the omission or correction to the preliminary amendment in compliance with revised 37 CFR 1.121 noted above within ONE MONTH of the mail date of this letter, examination on the merits may commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.
- ☐ **AMENDMENT AFTER NON-FINAL ACTION:** Since the above-mentioned reply appears to be *bona fide*, applicant is given a TIME PERIOD of ONE MONTH or THIRTY DAYS from the mailing of this notice, whichever is longer, within which to supply the omission or correction noted above in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

April Wise
Legal Instruments Examiner (LIE)